

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X

In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Kathy S. Dale  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Email Address

608 Jefferson  
Address line 1

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
Address line 2

Wapello, IA 52653  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

USA  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_  
intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

**X** intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Kathy Sue Dale  
Signature

KATHY SUE DALE  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

Oct 30, 2019  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**



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00918

52653  
OCT 30, 19  
AMOUNT  
**\$7.35**  
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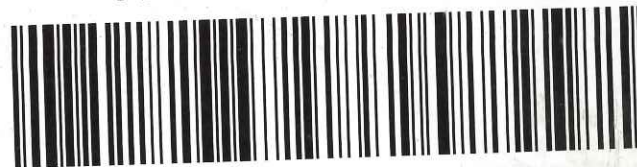


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Kathy Sue Dale  
608 Jefferson St  
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The Clerk of the United States District Court  
District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave  
San Juan, PR 00918-1767

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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

Julio A. Pérez García +  
Participant Name Teresa García Toledo

Contact Person (if Participant is not an individual)

teresaagt72@prtc.net  
Email Address

Box 1372  
Address line 1

Address line 2

Guaynabo, P.R. 00970  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

Firm Name (if applicable)

Contact Person

Email Address

Address line 1

Address line 2

City, State Zip Code

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

X intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

\_\_\_\_\_ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Julio A Pérez García Teresa García Toledo  
Signature  
Julio A Pérez García Teresa García Toledo  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

October 30, 2019  
Date

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Julio A Perez Garcia  
Box 1372  
Guaynabo, PR 00970

The Clerk of the USA District Court for  
the District of Puerto Rico  
Room 150 Federal Building  
150 Carlos Chardon Ave, San Juan  
00918-1767

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN

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**UNITED STATES DISTRICT COURT  
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MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
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THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X

In re: :  
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MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

RECEIVED  
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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



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1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

IVÁN SANTOS ESPINAL  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

ivan@santose.com  
Email Address

\_\_\_\_\_  
Email Address

VISTA DELOG FRATILES  
Address line 1

\_\_\_\_\_  
Address line 1

150 CAR. 873 APT 91  
Address line 2

\_\_\_\_\_  
Address line 2

GUAYNABO P.R. 00969-5158  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

U.S.  
Country

\_\_\_\_\_  
Country

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(a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

(b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: Ivan Santog Espinal  
Signature

IVAN SANTOG ESPINAL  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/24/2019  
Date

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IVAN &

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U.S. DISTRICT COURT  
SAN JUAN, PR

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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

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THE FINANCIAL OVERSIGHT AND	: PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	: Title III
as representative of	: Case No. 17-BK-3283 (LTS)
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	: (Jointly Administered)
Debtors. <sup>1</sup>	:
-----	X

In re:	:
THE FINANCIAL OVERSIGHT AND	: PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	: Title III
as representative of	: Case No. 17-BK-3566 (LTS)
THE EMPLOYEES RETIREMENT SYSTEM OF THE	:
GOVERNMENT OF THE COMMONWEALTH OF	:
PUERTO RICO,	:
Debtor.	:
-----	X

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CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
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**Participant Name and Contact Information****Counsel Contact Information (if any)**

EDGARDO ARROYO-CALDERON

Participant Name

Firm Name (if applicable)

EDGARDO ARROYO-CALDERON

Contact Person (if Participant is not an individual)

Contact Person

edgardo.arroyo-calderon@gmail.com

Email Address

Email Address

Ave. Ponce de Leon #1717-Apt 2007

Address line 1

Address line 1

COND. PLAZA INMACULADA II

Address line 2

Address line 2

San Juan, P.R. 00909

City, State Zip Code

City, State Zip Code

U.S.A.

Country

Country

2. Participant advises that it (choose one of the following by marking "X" in the appropriate space):

           intends to support the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are invalid); or

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29216 MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:

Signature

EDGARDO ARROYO

Print Name

Title (if Participant is not an Individual)

October 28, 2019

Date

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EDGARDO ARROYO  
1717 Ponce de Leon Ave  
APT. 2007- PL.  
SAN Juan, PR



THE CLERK of the UNITED States District Court  
for the DISTRICT of PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
CARLOS CHARDON Avenue  
San Juan, P.R. 00918-1767

RECEIVED & FILED  
2019 NOV - 5 PM 3:56  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, PR

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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

-----	X	
In re:	:	
	:	
THE FINANCIAL OVERSIGHT AND	:	PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	:	Title III
	:	
as representative of	:	Case No. 17-BK-3283 (LTS)
	:	
THE COMMONWEALTH OF PUERTO RICO <i>et al.</i> ,	:	(Jointly Administered)
	:	
Debtors. <sup>1</sup>	:	
-----	X	
In re:	:	
	:	
THE FINANCIAL OVERSIGHT AND	:	PROMESA
MANAGEMENT BOARD FOR PUERTO RICO,	:	Title III
	:	
as representative of	:	Case No. 17-BK-3566 (LTS)
	:	
THE EMPLOYEES RETIREMENT SYSTEM OF THE	:	
GOVERNMENT OF THE COMMONWEALTH OF	:	
PUERTO RICO,	:	
	:	
Debtor.	:	
-----	X	

RECEIVED & FILED  
2019 NOV - 5 PM 3:55  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
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**Participant Name and Contact Information**

**Counsel Contact Information (if any)**

Nery Juber A Rivera  
Participant Name

\_\_\_\_\_  
Firm Name (if applicable)

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Contact Person

NYJRIVERA @ GMAIL .COM  
Email Address

\_\_\_\_\_  
Email Address

Unit 3470 Box 149  
Address line 1

\_\_\_\_\_  
Address line 1

\_\_\_\_\_  
Address line 2

\_\_\_\_\_  
Address line 2

DDO, AA 34041  
City, State Zip Code

\_\_\_\_\_  
City, State Zip Code

USA  
Country

\_\_\_\_\_  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

\_\_\_\_\_ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

292164BN9

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By:



Signature

Nery Tubert Rivero

Print Name

Title (if Participant is not an Individual)

10/22/19

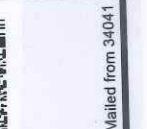


Date

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**POSTAL SERVICE**  
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 <b>9405 5036 9930 0147 2274 82</b>		<b>PS Form 2976 (March 2015)</b>													
<b>CN22 - CUSTOMS DECLARATION</b> Origin Post: <b>US POSTAL SERVICE</b> Date of Mailing: <b>10/24/2019</b> Category of Item: <b>Documents</b> AES/ITN/Exemption: <b>EEL NONE</b>		<b>FROM</b> NERY JUBERT-RIVERA UNIT 3470 Box 149 DPO AA 34041 UNITED STATES													
<b>Detailed description of contents</b> Documents		<b>TO</b> THE CLERK OF THE US DISTRICT COURT OF THE DISTRICT OF PUERTO RICO 1 150 AVE CARLOS CHARDON STE 150 SAN JUAN PR 00918-1706 UNITED STATES													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Qty.</th> <th>Net Weight (lbs/ozs)</th> <th>Value (US\$)</th> <th>HS Tariff #; Origin Country</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1</td> <td style="text-align: center;">1 0</td> <td style="text-align: center;">100.00</td> <td></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;"><b>\$100.00</b></td> <td style="text-align: center;"><b>TOTAL</b></td> </tr> </tbody> </table>		Qty.	Net Weight (lbs/ozs)	Value (US\$)	HS Tariff #; Origin Country	1	1 0	100.00				<b>\$100.00</b>	<b>TOTAL</b>	I certify the particulars given in this customs declaration are correct. This form does not contain any undeclared dangerous articles, or articles prohibited by legislation or by postal or customs regulations. I have met all applicable export filing requirements under federal law and regulations.	
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		<b>\$100.00</b>	<b>TOTAL</b>												
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**This item may be opened o**

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PS Form 2976 (March 2015)

**Click-N-Ship**

PS0000



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**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
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In re: :  
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as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
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**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



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The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

LEEANN MILLER  
Participant Name

\_\_\_\_\_  
Contact Person (if Participant is not an individual)

\_\_\_\_\_  
Email Address

LEEANN MILLER

\_\_\_\_\_  
Address line 1

1262 N. ROWEN

\_\_\_\_\_  
Address line 2

MESA, AZ. 85207

\_\_\_\_\_  
City, State Zip Code

USA

\_\_\_\_\_  
Country

**Counsel Contact Information (if any)**

US BANKERS INVESTMENTS INC  
Firm Name (if applicable)

STEPHEN A SHEPHERD  
Contact Person

\_\_\_\_\_  
Email Address

EP.MN-WN2K

\_\_\_\_\_  
Address line 1

60 LIVINGSTON AVE

\_\_\_\_\_  
Address line 2

ST. PAUL, MINN 55017

\_\_\_\_\_  
City, State Zip Code

USA

\_\_\_\_\_  
Country

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29216MAC4      29216MAC4  
29216MAC4

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By: Lee Ann Miller  
Signature

LEE ANN MILLER  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/30/19  
Date

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Address line 2

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City, State Zip Code

USA

Country

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Firm Name (if applicable)

STEPHEN A. SHEPHERD

Contact Person

Email Address

EP.MN-WN2K

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60 LIVINGSTON AVE

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ST. PAUL, MINN 55017

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By: LeeAnn Miller  
Signature

LEEANN MILLER  
Print Name

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Title (if Participant is not an Individual)

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GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
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RECEIVED & FILED  
2019 NOV -5 PM 2:20  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

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Contact Person (if Participant is not an individual)

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1262 N. ROWEN

Address line 2

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City, State Zip Code

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PUERTO RICO, :  
Debtor. :  
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- (a) Provide the CUSIP Numbers of all ERS Bonds held by Participant:

29216MBN9      29216MAC4  
29216MAC4      29216MAC4  
29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: LeeAnn Miller  
Signature

LEEANN MILLER  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/30/19  
Date

**Instructions for Serving and Filing Notice of Participation:** This Notice of Participation must be (i) served by email on the Notice Parties set forth in paragraph 8 of the Objection Procedures and (ii) filed electronically with the District Court pursuant to its Electronic Case Filing procedures. **If the Participant is not represented by counsel, the Participant may file a paper copy of this Notice of Participation with the District Court by delivering such Notice of Participation by mail or by hand addressed to: The Clerk of the United States District Court for the District of Puerto Rico, Room 150 Federal Building, 150 Carlos Chardon Avenue, San Juan, PR 00918-1767.**

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

----- X  
In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3283 (LTS)  
THE COMMONWEALTH OF PUERTO RICO *et al.*, : (Jointly Administered)  
Debtors.<sup>1</sup> :  
----- X

In re: :  
THE FINANCIAL OVERSIGHT AND : PROMESA  
MANAGEMENT BOARD FOR PUERTO RICO, : Title III  
as representative of : Case No. 17-BK-3566 (LTS)  
THE EMPLOYEES RETIREMENT SYSTEM OF THE :  
GOVERNMENT OF THE COMMONWEALTH OF :  
PUERTO RICO, :  
Debtor. :  
----- X

RECEIVED & FILED  
2019 NOV -5 PM 2:20  
CLERK'S OFFICE  
U.S. DISTRICT COURT  
SAN JUAN, P.R.

**NOTICE OF PARTICIPATION IN LITIGATION  
OF OBJECTIONS TO ERS BOND CLAIMS**

<sup>1</sup> The Debtors in these Title III cases, along with each Debtor's respective Title III case number and the last four (4) digits of each Debtor's federal tax identification number, as applicable, are the (i) Commonwealth of Puerto Rico (the "Commonwealth") (Bankruptcy Case No. 17-BK-3283 (LTS)) (Last Four Digits of Federal Tax ID: 3481); (ii) Puerto Rico Sales Tax Financing Corporation ("COFINA") (Bankruptcy Case No. 17-BK-3284 (LTS)) (Last Four Digits of Federal Tax ID: 8474); (iii) Puerto Rico Highways and Transportation Authority ("HTA") (Bankruptcy Case No. 17-BK-3567 (LTS)) (Last Four Digits of Federal Tax ID: 3808); (iv) Employees Retirement System of the Government of the Commonwealth of Puerto Rico ("ERS") (Bankruptcy Case No. 17-BK-3566 (LTS)) (Last Four Digits of Federal Tax ID: 9686); and (v) Puerto Rico Electric Power Authority ("PREPA") (Bankruptcy Case No. 17-BK-4780 (LTS)) (Last Four Digits of Federal Tax ID: 3747) (Title III case numbers are listed as Bankruptcy Case numbers due to software limitations).



**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

This Notice of Participation must be served and filed no later than November 18, 2019 in accordance with the instructions set forth at the end of this document.

Absent permission granted by the District Court upon a showing of good cause, any party that has notice of the Initial Objection Procedures but (a) fails to file a Notice of Participation or (b) files a Notice of Participation after November 18, 2019, shall be prohibited from filing separate pleadings with respect to the Claim Objections (as defined in the Initial Objection Procedures), including filing any Additional Claim Objections (as defined in the Initial Objection Procedures).

The party identified below ("Participant") hereby advises the Official Committee of Unsecured Creditors, the Official Committee of Retired Employees of the Commonwealth of Puerto Rico, the Financial Oversight and Management Board for Puerto Rico, the Special Claims Committee of the Financial Oversight and Management Board for Puerto Rico, and the Puerto Rico Fiscal Agency and Financial Advisory Authority that it intends to participate in the litigation of the Claim Objections with respect to bonds issued by the Employees Retirement System of the Government of the Commonwealth of Puerto Rico in 2008 (the "ERS Bonds").

To ensure full participation rights in the litigation of the Claim Objections, Participant provides all of the information requested in items 1 through 3 below:

1. Participant's contact information, including email address, and that of its counsel, if any:

**Participant Name and Contact Information**

LEEANN MILLER  
Participant Name

Contact Person (if Participant is not an individual)

Email Address

LEEANN MILLER  
Address line 1

1262 N. ROWEN  
Address line 2

MESA, AZ 85207  
City, State Zip Code

USA  
Country

**Counsel Contact Information (if any)**

U.S. BANCORP INVESTMENTS INC  
Firm Name (if applicable)

STEPHEN A. SHEPHERD  
Contact Person

Email Address

EP-MN-WN2K  
Address line 1

60 LIVINGSTON AVE  
Address line 2

ST. PAUL MINN 55107  
City, State Zip Code

USA  
Country

2. Participant advises that it (choose **one** of the following by marking "X" in the appropriate space):

☐ intends to **support** the relief requested in the Claim Objections (i.e., Participant believes the District Court should find that the ERS Bonds are **invalid**); or

☒ intends to **oppose** the relief requested in the Claim Objections (i.e., Participant believes that the District Court should find that the ERS Bonds are **valid**)

**\*THIS NOTICE REQUIRES ACTION ON OR BEFORE NOVEMBER 18, 2019\***

3. If Participant is not a holder of an ERS Bond, it can skip to the end of this Notice and sign. If Participant is a holder of one or more ERS Bonds, Participant must respond to the following paragraphs (a) and (b) to the best of Participant's knowledge.

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29216MAC4      29216MAC4  
29216MAC4      29216MAC4

- (b) Did Participant purchase any of its ERS Bonds in whole or in part on the secondary market? YES or NO (please circle one).

By: LeeAnn Miller  
Signature

LEEANN MILLER  
Print Name

\_\_\_\_\_  
Title (if Participant is not an Individual)

10/30/19  
Date

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1262 N. ROAD  
MESA, AZ 85207



THE CLERK OF US DISTRICT COURT FOR  
DISTRICT OF PUERTO RICO  
ROOM 150 FEDERAL BUILDING  
150 CARLOS CHARDON AVE  
SAN JUAN, PUERTO RICO  
00918-1767

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00918-170399

